

## ABCC OUTREACH MA Municipal Association Annual Meeting - Jan. 24, 2020

Ralph Sacramone Executive Director 617-727-3040 ext. 731

rsacramone@tre.state.ma.us

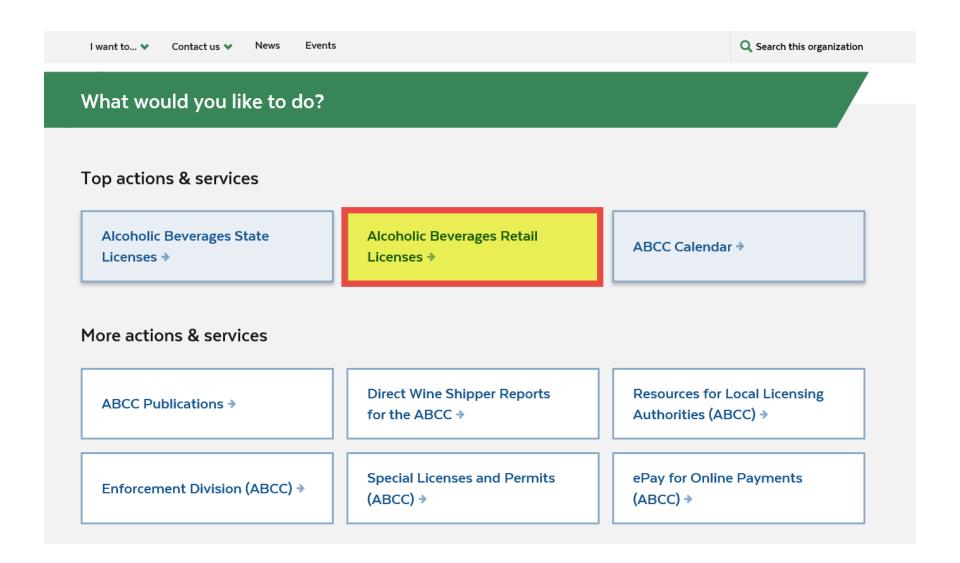
#### **ABCC ADDRESS CHANGE**

### We Have a New Address

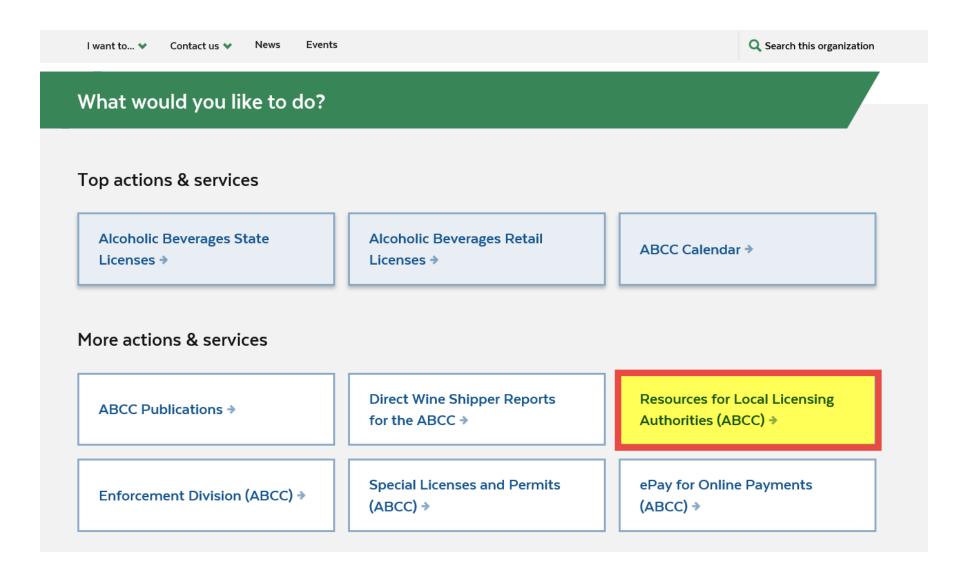
Alcoholic Beverages Control Commission 95 Fourth Street, Suite 3 Chelsea, MA 02150

All applications and correspondence should be mailed to the address above.

#### **RETAIL LICENSES**



#### **RESOURCES / FORMS FOR LLAS**



#### **RESOURCES / FORMS FOR LLAS**

## Resources and Forms for Local Licensing Authorities (LLAs)

Review necessary forms and helpful guides for LLAs here.

#### TABLE OF CONTENTS

#### **Forms**

ABCC Calendar >

RELATED

For LLAs: See below for links to forms and resources that can answer your questions regarding licenses.

Licensing Authority Certification

Monetary Transmittal Form

Monetary Transmittal Form for Additional Package Stores

No Fee Transmittal Form



#### The Commonwealth of Massachusetts Alcoholic Beverages Control Commission

For Reconsideration

	LICENSING A	UTHORITY CERTIFICATION	
4Gb			
		City /Town	ABCC License Number
	heck all relevant transactions): titions the Licensing Authorities	to approve the following transac	tions:
New License	Change Corporate Name	Change of Class (i.e. Annual / Seasonal)	Change Corporate Structure (16. Corp/LLC)
Transfer of License	Change of DBA	Change of License Type (I.a. club / restaurant)	Change of Hours
Change of Manager	Alteration of Licensed Premises	Change of Category (J.a. All Akohol/Wine, Malt)	Pledge of Collateral (I.s. License/Stock)
Change of Officers/Directors	Change of Location	Issuance/Transfer of Stock/New Stockhold	er Management/Operating Agreement
Change of Ownership Interes	Other		
APPLICANT INFORMATION			
Name of Licensee		DBA	
Street Address			
Manager			Granted under Yes No Special Legislation?
			If Yes, Chapter
_			of the Acts of (year)
<u>Type</u> (i.e. restaurant, package store)	<u>Class</u> (Annual or Seasonal)	<u>Category</u> (i.e. Wines and Malts / All Alcohol)	
DESCRIPTION OF PREMISES	Complete description of the li	concod promises	
LOCAL LICENSING AUTHORITY	INFORMATION		
Application filed with the LLA	: Date	Time	
Advertised Yes 🔲 I	No Date Published	Publication	
Abutters Notified: Yes 🔲 1	No Date of Notice		
Date APPROVED by LLA	1	Decision of the LLA	•
Additional remarks or condition (E.g. Days and hours)	ins		
For Transfers ONLY:			
Seller License Number:	Seller Name	2:	
The Local Licensing Authorities By	r:		Alcoholic Beverages Control Commission Ralph Sacramone Executive Director



## The Commonwealth of Massachusetts Alcoholic Beverages Control Commission 239 Causeway Street Boston, MA 02114 www.mass.gov/abcc

#### APPLICATION FOR A NEW LICENSE

Municipality	
N INFORMA	TION

Municipality
1. LICENSE CLASSIFICATION INFORMATION
ON/OFF-PREMISES TYPE CATEGORY CLASS
Please provide a narrative overview of the transaction(s) being applied for. On-premises applicants should also provide a description of
the intended theme or concept of the business operation. Attach additional pages, if necessary.
Is this license application pursuant to special legislation? Yes No Chapter Acts of
2. BUSINESS ENTITY INFORMATION
The entity that will be issued the license and have operational control of the premises.
Entity Name FEIN
DBA Manager of Record
Street Address
Phone Email
Alternative Phone Website
3. DESCRIPTION OF PREMISES
Please provide a complete description of the premises to be licensed, including the number of floors, number of rooms on each floor, any outdoor areas to be included in the licensed area, and total square footage. You must also submit a floor plan.
outdoor areas to be included in the incersed area, and total square rootage, rou must also submit a noor plan.
Total Square Footage: Number of Entrances: Seating Capacity:
Number of Floors Number of Exits: Occupancy Number:
4. APPLICATION CONTACT
The application contact is the person whom the licensing authorities should contact regarding this application.
Name: Phone:
Title: Email: 1
-

APPLICATION FOR	A NEW LICENSE					
5. CORPORATE STRUCTURE						
Entity Legal Structure	Date of Incorporation					
State of Incorporation	Is the Corporation publicly traded?  Yes  No					
6. PROPOSED OFFICERS, STOCK OR OWNERSHIP IN	TEREST					
List all individuals or entities that will have a direct or indirect, beneficial or financial interest in this license (E.g. Stockholders, Officers, Directors, LLC Managers, LLP Partners, Trustees etc.). Attach additional page(s) provided, if necessary, utilizing Addendum A.						
<ul> <li>The individuals and titles listed in this section must be identic</li> </ul>	al to those filed with the Massachusetts Secretary of State.					
<ul> <li>The individuals identified in this section, as well as the propos</li> </ul>	ed Manager of Record, must complete a CORI Release Form.					
<ul> <li>Please note the following statutory requirements for Directors and LLC Managers:         On Premises (E.g. Restaurant / Club / Hotel) Directors or LLC Managers - At least 50% must be US citizens;         Off Premises (Liquor Store) Directors or LLC Managers - All must be US citizens and a majority must be Massachusetts residents.     </li> <li>If you are a Multi-Tiered Organization, please attach a flow chart identifying each corporate interest and the individual owners of</li> </ul>						
each entity as well as the Articles of Organization for each cor	porate entity. Every individual must be identified in Addendum A.					
Name of Principal Residential Address	SSN DOB					
Title and or Position Percentage of Ownershi	p Director/ LLC Manager US Citizen MA Resident					
ritie and or Position Percentage of Ownership	Yes No Yes No Yes No					
Name of Principal Residential Address	SON DOD					
nessection radies						
Title and or Position Percentage of Ownershi	p Director/ LLC Manager US Citizen MA Resident					
	Yes No Yes No					
Name of Principal Residential Address	SSN DOB					
Title and or Position Percentage of Ownershi	p Director/ LLC Manager US Citizen MA Resident					
Name of Principal Residential Address	Yes No Yes No Yes No DOB					
Name of Principal Residential Address	SSN DOB					
Title and or Position Percentage of Ownershi	p Director/ LLC Manager US Citizen MA Resident					
	Yes No Yes No Yes No					
Name of Principal Residential Address	SSN DOB					
Title and or Position Percentage of Ownershi	p Director/ LLC Manager US Citizen MA Resident					
Yes No Yes No						
Additional pages attached? Yes No						
CRIMINAL HISTORY Has any individual listed in question 6, and applicable attachments, ev State, Federal or Military Crime? If yes, attach an affidavit providing the						
MANAGEMENT AGREEMENT  Are you requesting approval to utilize a management company through a management agreement?  Yes No 2						

#### APPLICATION FOR A NEW LICENSE 6A. INTEREST IN AN ALCOHOLIC BEVERAGES LICENSE Does any individual or entity identified in question 6, and applicable attachments, have any direct or indirect, beneficial or financial interest in any other license to sell alcoholic beverages? Yes No If yes, list in table below. Attach additional pages, if necessary, utilizing the table format below. License Type License Name Municipality Name 6B. PREVIOUSLY HELD INTEREST IN AN ALCOHOLIC BEVERAGES LICENSE Has any individual or entity identified identified in question 6, and applicable attachments, ever held a direct or indirect, beneficial or financial interest in a license to sell alcoholic beverages, which is not presently held? If yes, list in table below. Attach additional pages, if necessary, utilizing the table format below. Name License Type License Name Municipality 6C. DISCLOSURE OF LICENSE DISCIPLINARY ACTION Have any of the disclosed licenses listed in question 6Aor 6B ever been suspended, revoked or cancelled? Yes No If yes, list in table below. Attach additional pages, if necessary, utilizing the table format below. Reason for suspension, revocation or cancellation Date of Action Name of License City 7. OCCUPANCY OF PREMISES Please complete all fields in this section. Please provide proof of legal occupancy of the premises. If the applicant entity owns the premises, a deed is required. . If leasing or renting the premises, a signed copy of the lease is required. . If the lease is contingent on the approval of this license, and a signed lease is not available, a copy of the unsigned lease and a letter of intent to lease, signed by the applicant and the landlord, is required. . If the real estate and business are owned by the same individuals listed in question 6, either individually or through separate business entities, a signed copy of a lease between the two entities is required. Please indicate by what means the applicant will occupy the premises Landlord Name Landlord Phone Landlord Email Landlord Address Lease Beginning Date Rent per Month Lease Ending Date Rent per Year Will the Landlord receive revenue based on percentage of alcohol sales? ○ Yes ○ No 3

a FINANCIAL DISCLOSI		PLICATIO	N FOR A NEW LICENSE		
8. FINANCIAL DISCLOSU	IKE				
A. Purchase Price for Real Estate					
B. Purchase Price for Business As	sets				
C. Other (Please specify)					
D. Total Cost					
SOURCE OF CASH CONTRIBUTE		g. Bank or	other Financial institution Sta	tements, Ban	k Letter, etc.)
Name of Co	ntributor		Am	ount of Contribu	ution
		Total:			
SOURCE OF FINANCING Please provide signed financing					Is the lender a licensee pursuant
Name of Lender	Amount		Type of Financing		to M.G.L. Ch. 138.
					○ Yes ○ No
					○ Yes ○ No
					Yes No
					○ Yes ○ No
FINANCIAL INFORMATION Provide a detailed explanation o	f the form(s) and sou	urce(s) of fu	unding for the cost identified	above.	
9. PLEDGE INFORMATION	ON				
Please provide signed pledge (					
Are you seeking approval for a		∩ No			
Please indicate what you are se			ply) □ License □ Stock	☐ Invent	orv
To whom is the pledge being r			_ stock		,

. MANAGER INFORMA The individual that has	TION							
he individual that has								
	been appointed	l to mana	ige and con	trol the licensed	busines	s and prem	ises.	
Proposed Manager Name	9			Date of	Birth		SSN	
Residential Address								
Email		Phone						
lease indicate how man	y hours per week	ou intend	d to be on the	e licensed premise	es			
. CITIZENSHIP/BACKGRO	OUND INFORMATION	<u>NC</u>						
re you a U.S. Citizen?*					○ No	*Manager	nust be a	U.S. Citizen
yes, attach one of the fo	ollowing as proof	of citizensl	hip US Passp			_		
ave you ever been conv				_	○ No			•
yes, fill out the table be			-			ictions. Atta	ch additi	onal pages, if ne
tilizing the format belo			,					pages, n ne
Date M	unicipality		Charge	e			Dispositio	n
. EMPLOYMENT INFORM								
lease provide your emp	loyment history. A		itional pages		izing the f	ormat below		nder Merce
	loyment history. A		itional pages	i, if necessary, utili Employer	izing the f	ormat below		rvisor Name
lease provide your emp	loyment history. A		itional pages		izing the f	ormat below		rvisor Name
lease provide your emp	loyment history. A		itional pages		izing the f	ormat belon		rvisor Name
lease provide your emp	loyment history. A		itional pages		izing the f	ormat below		rvisor Name
lease provide your emp	loyment history. A		itional pages		izing the f	ormat below		rvisor Name
lease provide your emp	loyment history. A		itional pages		izing the f	ormat belov		rvisor Name
Start Date End Date  Start Date End Date  D. PRIOR DISCIPLINARY A lave you held a benefici	NCTION alor financial inte	rest in, or I	been the mai	Employer	to sell alo	coholic beve	Supe	t was subject to
Start Date End Date  Start Date End Date  D. PRIOR DISCIPLINARY A lave you held a benefici	Posit  Posit  CTION  al or financial inte	rest in, or I	been the mai	Employer  Employer	e to sell ale	coholic beve	Supe	t was subject to g the format bel
Start Date End Date  Start Date End Date  D. PRIOR DISCIPLINARY A lave you held a benefici	NCTION alor financial inte	rest in, or l	been the mai	Employer nager of, a license ble. Attach addition	e to sell ale	coholic beve	Supe	t was subject to g the format bel
Start Date End Date  Start Date End Date  D. PRIOR DISCIPLINARY A lave you held a benefici	NCTION alor financial inte	rest in, or l	been the mai	Employer nager of, a license ble. Attach additio	e to sell ale	coholic beve	Supe	t was subject to g the format bel
Start Date End Date  Start Date End Date  D. PRIOR DISCIPLINARY A lave you held a benefici	NCTION alor financial inte	rest in, or l	been the mai	Employer nager of, a license ble. Attach additio	e to sell ale	coholic beve	Supe	t was subject to g the format bel

# ADDITIONAL INFORMATION Please utilize this space to provide any additional information that will support your application or to clarify any answers provided above.

#### APPLICANT'S STATEMENT the: Sole proprietor: partner: corporate principal: LLC/LLP manager Authorized Signatory of Name of the Entity/Corporation hereby submit this application (hereinafter the "Application"), to the local licensing authority (the "LLA") and the Alcoholic Beverages Control Commission (the "ABCC" and together with the LLA collectively the "Licensing Authorities") for approval. I do hereby declare under the pains and penalties of perjury that I have personal knowledge of the information submitted in the Application, and as such affirm that all statements and representations therein are true to the best of my knowledge and belief. I further submit the following to be true and accurate: I understand that each representation in this Application is material to the Licensing Authorities' decision on the Application and that the Licensing Authorities will rely on each and every answer in the Application and accompanying documents in reaching its decision; I state that the location and description of the proposed licensed premises are in compliance with state and local laws and regulations; (3)I understand that while the Application is pending. I must notify the Licensing Authorities of any change in the information submitted therein. I understand that failure to give such notice to the Licensing Authorities may result in disapproval of the Application; I understand that upon approval of the Application, I must notify the Licensing Authorities of any change in the ownership as approved by the Licensing Authorities. I understand that failure to give such notice to the Licensing Authorities may result in sanctions including revocation of any license for which this Application is submitted; I understand that the licensee will be bound by the statements and representations made in the Application, including, but not limited to the identity of persons with an ownership or financial interest in the license; (6)I understand that all statements and representations made become conditions of the license: I understand that any physical alterations to or changes to the size of the area used for the sale, delivery, storage, or consumption of alcoholic beverages, must be reported to the Licensing Authorities and may require the prior approval of the Licensing Authorities: I understand that the licensee's failure to operate the licensed premises in accordance with the statements and representations made in the Application may result in sanctions, including the revocation of any license for which the Application was submitted; and (9)I understand that any false statement or misrepresentation will constitute cause for disapproval of the Application or sanctions including revocation of any license for which this Application is submitted. I confirm that the applicant corporation and each individual listed in the ownership section of the application is in good standing with the Massachusetts Department of Revenue and has complied with all laws of the Commonwealth relating to taxes, reporting of employees and contractors, and withholding and remitting of child support. Signature: Date:

Transfer of License Change of DBA Change of License Type (a.c.kb/nsctarunt) Change of Manager Alteration of Licensed Premises Change of Category (a.A.IIAkohowwina, Mat) Pledge of Collateral (i.a. Licanna/Stock) Change of Officers/Directors Change of Officers/Directors Change of Ownership Interest Other  WOTED: To authorize  Name of Person to sign the application submitted and to execute on the Entity's behalf, any necessary papers and do all things required to have the application granted."  WOTED: To appoint  Name of Liquor License Manager as its manager of record, and hereby grant him or her with full authority and control of the premises described in the license and authority and control of the conduct of all business		
duly voted to apply to the the Licensing Authority of		CORPORATE VOTE
Commonwealth of Massachusetts Alcoholic Beverages Control Commission on  Date of Meeting  Cor the following transactions (Check all that apply):  New License	The Board of Directors or LLC Ma	agers of Entity Name
Commonwealth of Massachusetts Alcoholic Beverages Control Commission on  Date of Meeting  Or the following transactions (Check all that apply):  New License	duly voted to apply to the the Li	nsing Authority of and the
New License Change Corporate Name Change of Class (I.a.Annual / Sezzonal) Change Corporate Structure (I.a. Com/License Type) (I.a. Change of License Type) (I.a. Change of Hours Change of Hours Change of Manager Alteration of Licensed Premises Change of Category (I.a. All Alcohol/Wina, Mat) Pledge of Collateral (I.a. Licansa/Stock)  Change of Officers/Directors Change of Location Issuance/Transfer of Stock/New Stockholder Management/Operating Agreement Change of Ownership Interest Other  "VOTED: To authorize Name of Person to sign the application submitted and to execute on the Entity's behalf, any necessary papers and do all things required to have the application granted."  "VOTED: To appoint Name of Liquor License Manager as its manager of record, and hereby grant him or her with full authority and control of the premises described in the license and authority and control of the conduct of all business	Commonwealth of Massachuset	Alcoholic Beverages Control Commission on
Transfer of License Change of DBA Change of License Type (Ia. ALD/ restaurant) Change of Hours  Change of Manager Alteration of Licensed Premises Change of Category (Ia. ALIA Kohoru/Min, Max) Pledge of Collateral (Ia. Licensed Stock)  Change of Officers/Directors Change of Location Issuance/Transfer of Stock/New Stockholder Management/Operating Agreement  Change of Ownership Interest Other  WOTED: To authorize Name of Person  to sign the application submitted and to execute on the Entity's behalf, any necessary papers and do all things required to have the application granted."  "VOTED: To appoint  Name of Liquor License Manager  as its manager of record, and hereby grant him or her with full authority and control of the premises described in the license and authority and control of the conduct of all business	or the following transactions (Check	that apply):
Change of Manager  Alteration of Licensed Premises  Change of Category (J. Allakohov/Winx, Max)  Pledge of Collateral (J. License/Stock)  Change of Officers/Directors  Change of Officers/Directors  Change of Ownership Interest  Other  Name of Person  to sign the application submitted and to execute on the Entity's behalf, any necessary papers and do all things required to have the application granted."  "VOTED: To appoint  Name of Liquor License Manager  as its manager of record, and hereby grant him or her with full authority and control of the premises described in the license and authority and control of the conduct of all business	New License Corpor	Name Change of Class (i.e. Annual / Sezzonal) Change Corporate Structure (i.e. Corp / LLC)
Change of Officers/Directors Change of Location Issuance/Transfer of Stock/New Stockholder Management/Operating Agreement  Change of Ownership Interest Other  Name of Person  to sign the application submitted and to execute on the Entity's behalf, any necessary papers and do all things required to have the application granted."  "VOTED: To appoint  Name of Liquor License Manager  as its manager of record, and hereby grant him or her with full authority and control of the premises described in the license and authority and control of the conduct of all business	Transfer of License Change of DBA	Change of License Type (La. club/restaurant) Change of Hours
"VOTED: To authorize  Name of Person  to sign the application submitted and to execute on the Entity's behalf, any necessary papers and do all things required to have the application granted."  "VOTED: To appoint  Name of Liquor License Manager  as its manager of record, and hereby grant him or her with full authority and control of the premises described in the license and authority and control of the conduct of all business		
"VOTED: To authorize  Name of Person  to sign the application submitted and to execute on the Entity's behalf, any necessary papers and do all things required to have the application granted."  "VOTED: To appoint  Name of Liquor License Manager  as its manager of record, and hereby grant him or her with full authority and control of the premises described in the license and authority and control of the conduct of all business		Issuance/Transfer of Stock/New Stockholder Management/Operating Agreement
Name of Liquor License Manager as its manager of record, and hereby grant him or her with full authority and control of the premises described in the license and authority and control of the conduct of all business	to sign the application submitted	nd to execute on the Entity's behalf, any necessary papers and
as its manager of record, and hereby grant him or her with full authority and control of the premises described in the license and authority and control of the conduct of all business	"VOTED: To appoint	
premises described in the license and authority and control of the conduct of all business		Name of Liquor License Manager
therein as the licensee itself could in any way have and exercise if it were a natural person residing in the Commonwealth of Massachusetts."	premises described in the licens therein as the licensee itself cou	nd authority and control of the conduct of all business in any way have and exercise if it were a natural person
A true copy attest,  For Corporations ONLY A true copy attest,  A true copy attest,	A true copy attest,	
Corporate Officer /LLC Manager Signature  Corporation Clerk's Signature	Corporate Officer /LLC Manager	Corporation Clerk's Signature

#### Checklist

#### **NEW LICENSE**

To apply for an alcoholic beverages retail license, you will need the following:

- New Retail Application
- Business Structure Documents
  - If Sole Proprietor, Business Certificate
  - If partnership, Partnership Agreement
  - If corporation or LLC, Articles of Organization from the Secretary of the Commonwealth
- CORI Authorization Form Complete one for each individual with financial or beneficial interest in the entity that is applying AND one for the proposed manager of record. This form must be notarized with a stamp or raised seal.
- Manager Application
- Proof of Citizenship for the proposed Manager of Record.
- Vote of the Corporate Board
- Supporting Financial Records for all financing and or loans, including pledge documents, if applicable.
- Legal Right to Occupy, a lease or deed.
- Floor Plan
- Abutter's Notification
- Advertisement
- Monetary Transmittal Form
- . \$200 Fee paid online through our online payment portal, ePay
- Additional information, if necessary, utilizing the formats provided and or any affidavits.

Please Note: you may be requested to submit additional supporting documentation if necessary.

#### ADDENDUM A 6. PROPOSED OFFICERS, STOCK OR OWNERSHIP INTEREST (Continued...) List all individuals or entities that will have a direct or indirect, beneficial or financial interest in this license (E.g. Stockholders, Officers, Directors, LLC Managers, LLP Partners, Trustees etc.). Percentage of Ownership in Entity being Licensed **Entity Name** (Write "NA" if this is the entity being licensed) Name of Principal Residential Address SSN DOB Percentage of Ownership Director/ LLC Manager US Citizen Title and or Position MA Resident Yes No Yes No Yes No Name of Principal Residential Address SSN DOB Percentage of Ownership Director/ LLC Manager US Citizen Title and or Position MA Resident ○ Yes ○ No ○ Yes ○ No Name of Principal Residential Address SSN DOB Title and or Position Percentage of Ownership Director/ LLC Manager US Citizen MA Resident Yes No Yes No Name of Principal Residential Address SSN DOB Percentage of Ownership Director/ LLC Manager US Citizen Title and or Position MA Resident Yes No Name of Principal Residential Address SSN DOB Percentage of Ownership Director/ LLC Manager US Citizen Title and or Position MA Resident Yes No Yes No Name of Principal Residential Address SSN DOB Percentage of Ownership Director/ LLC Manager US Citizen MA Resident Title and or Position Yes No Yes No Yes No Residential Address Name of Principal SSN DOB Percentage of Ownership Director/ LLC Manager US Citizen Title and or Position MA Resident Yes No Yes No Yes No CRIMINAL HISTORY

○ Yes ○ No

Has any individual identified above ever been convicted of a State, Federal or Military Crime? If yes, attach an affidavit providing the details of any and all convictions.

#### CERTIFICATE OF COMPLIANCE

#### Certificate of Good Standing required beginning MM/DD/YYYY

In order to confirm that all licensees and applicants are in compliance with Massachusetts tax laws, a Certificate of Good Standing ("COGS") from the Massachusetts Department of Revenue and a Certificate of Compliance ("COC") from the Massachusetts Department of Unemployment Assistance will be required for the following transactions submitted to the Local Board beginning MM/DD/YYYY

- Transfer of License (certificate must be in the current/seller licensee name)
- Change in Beneficial Interest
- Pledge of License
- Change of License Class (Seasonal, Annual)
- Change in License Category (Wines and Malts, All Alcohol, etc.)
- Change of Entity Name (certificate must be in the current corporate name)
- Change of Corporate Structure (certificate must be in the current corporate structure)
- · Addition of a Management Agreement
- PLEASE NOTE: a new licensee does not require a COGS or a COC

An applicant can obtain the required documents by visiting the following websites:

**Department of Revenue**: <a href="https://www.mass.gov/how-to/request-a-certificate-of-good-standing-tax-compliance-or-a-corporate-tax-lien-waiver">https://www.mass.gov/how-to/request-a-certificate-of-good-standing-tax-compliance-or-a-corporate-tax-lien-waiver</a>

**Department of Unemployment Assistance**: <a href="https://www.mass.gov/how-to/request-a-certificate-of-compliance">https://www.mass.gov/how-to/request-a-certificate-of-compliance</a>

#### DOR CERTIFICATE OF COMPLIANCE



Notice By Carel

CERTIFICATE OF GOOD STANDING AND/OR TAX COMPLIANCE



SELLER / CURRENT LICENSEE 239 CAUSEWAY STREET BOSTON, MA 02114

#### Why did I receive this notice?

The Commissioner of Revenue certifies that, as of the date of this certificate, DON SUE INC is in compliance with its tax obligations under Chapter 62C of the Massachusetts General Laws,

This certificate doesn't certify that the taxpayer is compliant in taxes such as unemployment insurance administred by agencies other than the Department of Revenue, or taxes under any other provisions of law.

This is not a waiver of iten issued under Chapter 62C, section 52 of the Massachusetts General Laws.

#### What if I have questions?

If you have questions, call us at (617) 887-6367 or toll-free in Massachusetts at (800) 392-6089, Monday through Friday, 8:30 a.m. to 4:30 p.m..

#### Visit us online!

Visit mass.gowlfor to learn more about Massachusetts tax laws und DOR policies and procedures, including your Taxpayer Bill of Rights, and MassTaxConnect for easy access to your account:

- Review or update your account
- Contact us using e-message
- Sign up for e-billing to save paper
- Make payments or set up autopay

Edward W. Coyle, Jr., Chief Collections Bureau Please ensure the DOR Certificate of Good Standing is from the current licensee.

#### **DUA CERTIFICATE OF COMPLIANCE**



THE COMMONWEALTH OF MASSACHUSETTS
EXECUTIVE OFFICE OF LABOR AND WORKFORCE DEVELOPMENT
DEPARTMENT OF UNEMPLOYMENT ASSISTANCE



Rosalin Acosta SECRETARY Richard A. Jeffers DIRECTOR

Ralph's Bistro 239 Causeway Street Boston, MA 02114

EAN: **80200999** June 07, 2018

Certificate Id: 18386

The Department of Unemployment Assistance certifies that as of 6/5/2018, RALPH'S BISTRO is current in all its obligations relating to contributions, payments in lieu of contributions, and the employer medical assistance contribution established in G.L.c.149,§189.

This certificate expires in 30 days from the date of issuance.

Richard A. Jeffers, Director

Department of Unemployment Assistance

Page 1 of 1

#### **DUA CONDITIONAL RELEASE**



THE COMMONWEALTH OF MASSACHUSETTS
EXECUTIVE OFFICE OF LABOR AND WORKFORCE DEVELOPMENT
DEPARTMENT OF UNEMPLOYMENT ASSISTANCE

ROSALIN ACOSTA SECRETARY RICHARD A. JEFFERS DIRECTOR

June 12, 2018

Alcoholic Beverages Control Commission Attn: Chairman 239 Causeway Street, 2nd Floor Boston, MA 02114

Chairperson,

We hereby give notice that there is no objection to the application filed by the below named Taxpayer/License holder.

CONDITIONAL RELEASE: ABC RESTAURANT, LLC

D/B/A THE TAVERN 100 CAMBRIDGE STREET BOSTON, MA 02114

The taxpayer agrees to pay \$25,389.47 plus any accrued interest to the Department of Unemployment Assistance within 24 hours of the closing. Payment must be in the form of bank or attorney's check.

Sincerely,

Revenue Enforcement

cc: file

#### **APPLICATIONS & AMENDMENTS RETURN NO ACTION**

- The ABCC will work diligently to process applications completely when they are received.
- In some cases however additional local board action or substantial information is missing from an application or amendment.
- In those cases the ABCC will return the transaction "Returned No Action" or RNA and include a recommendation from an investigator on what is missing or required.
- The local board should inform the applicant what is missing along with the remarks from the investigator.
- The applicant should then provide the information to the local board and based on the approval of the local board the LLA Certification and missing information should be resent to the ABCC with the reconsideration box checked on the LLA Certification form.

## Thank you for your time